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RCE IFW

PTO/SB/30 (09-03)

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<b>Request For Continued Examination (RCE) Transmittal</b>  Address to: MS RCE Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450	<b>Application Number</b>	09/289,576
	<b>Filing Date</b>	April 9, 1999
	<b>First Named Inventor</b>	Richard C. ALLEN
	<b>Art Unit</b>	1632
	<b>Examiner Name</b>	A. Falk
	<b>Attorney Docket Number</b>	311772000600

**This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application.**

Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application.

1. **Submission required under 37 CFR 1.114** Note: If the RCE is proper, any previously filed unentered amendments and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such amendment(s).

a. ☐ Previously submitted. If a final Office action is outstanding, any amendments filed after the final Office action may be considered as a submission even if this box is not checked.

i. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_

ii. ☐ Other \_\_\_\_\_

b. ☒ Enclosed

i. ☒ Amendment/Reply (12 pgs.)

iii. ☒ Information Disclosure Statement (IDS) (3 pages)

ii. ☐ Affidavit(s)/Declaration(s)

iv. ☒ Other Fee Transmittal (1 pg. + duplicate for fee processing); Petition for Extension of Time (1 pg.); Form PTO-1449 (1 pg. + duplicate); 2 References; Return receipt postcard

2. **Miscellaneous**

a. ☐ Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of \_\_\_\_\_ months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(i) required)

b. ☐ Other \_\_\_\_\_

3. **Fees** The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed.

a. ☒ The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. 03-1952

i. ☒ RCE fee required under 37 CFR 1.17(e)

ii. ☒ Extension of time fee (37 CFR 1.136 and 1.17)

iii. ☐ Other \_\_\_\_\_

b. ☐ Check in the amount of \$ \_\_\_\_\_ enclosed

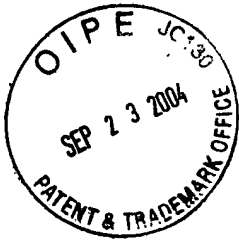
c. ☐ Payment by credit card (Form PTO-2038 enclosed)

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED			
<b>Name (Print/Type)</b>	Karen Zachow, Ph.D.	<b>Registration No. (Attorney/Agent)</b>	46,332
<b>Signature</b>		<b>Date</b>	September 23, 2004

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail, Airbill No. EV 521940149 US, in an envelope addressed to: MS RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Dated: September 23, 2004

Signature: (Grace Yu)



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Dated: September 23, 2004

Signature: \_\_\_\_\_

(Grace Yu)

Docket No.: 311772000600  
(PATENT)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:  
Richard C. ALLEN and Michael CORNFELDT

Application No.: 09/289,576

Art Unit: 1632

Filed: April 9, 1999

Examiner: A. Falk

For: METHODS OF TREATING SCHIZOPHRENIA

**AMENDMENT AND RESPONSE UNDER 37 C.F.R. §1.114**

MS RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

This is in response to the final Office Action dated March 23, 2004 in connection with the above-identified application. Filed herewith is a Petition and fee for a 3 month extension of time, thereby extending the deadline for response to September 23, 2004. Accordingly, this response is timely filed. A Request for Continued Examination under 37 C.F.R. §1.114 is also filed herewith.

Applicants have given careful consideration to the grounds for rejection. The following amendments and remarks are believed to place this application in condition for allowance, which is respectfully requested.